# Coherent 3D Portrait Video Reconstruction via Triplane Fusion

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<span id="page-0-0"></span>

Fig. 1: We propose a triplane fusion method for reconstructing coherent 3D portrait videos. Our method captures the authentic dynamic appearance of the user  $(e.g.,$  facial expressions and lighting) while producing temporally coherent 3D videos. Trained only using a synthetic 3D video dataset, our encoder-based method achieves both state-ofthe-art 3D reconstruction accuracy and temporal consistency.

Abstract. Recent breakthroughs in single-image 3D portrait reconstruction have enabled telepresence systems to stream 3D portrait videos from a single camera in real-time, potentially democratizing telepresence. However, per-frame 3D reconstruction exhibits temporal inconsistency and forgets the user's appearance. On the other hand, self-reenactment methods can render coherent 3D portraits by driving a personalized 3D prior, but fail to faithfully reconstruct the user's per-frame appearance  $(e.g.,$  facial expressions and lighting). In this work, we recognize the need to maintain both coherent identity and dynamic per-frame appearance to enable the best possible realism. To this end, we propose a new

<sup>∗</sup>This work was done during an internship at NVIDIA

fusion-based method that fuses a personalized 3D subject prior with perframe information, producing temporally stable 3D videos with faithful reconstruction of the user's per-frame appearances. Trained only using synthetic data produced by an expression-conditioned 3D GAN, our encoder-based method achieves both state-of-the-art 3D reconstruction accuracy and temporal consistency on in-studio and in-the-wild datasets. <https://research.nvidia.com/labs/amri/projects/stable3d>

Keywords: 3D Portrait Video Reconstruction · Neural Rendering

# 1 Introduction

Telepresence for bringing distant people face-to-face in 3D, stands out as a particularly compelling application of computer vision and graphics, which can transform human experiences. Over the last several decades, various successful telepresence systems [\[30,](#page-18-0)[32,32,](#page-18-1)[38,](#page-18-2)[44,](#page-19-0)[47,](#page-19-1)[52,](#page-19-2)[58\]](#page-19-3) have been developed. However, most employ bulky multi-view 3D scanners or depth sensors to ensure high-quality volumetric per-frame reconstruction. Unlike these classical 3D/4D reconstruction methods, recent AI-based feed-forward 3D lifting techniques, such as LP3D [\[70\]](#page-20-0) and TriPlaneNet [\[5\]](#page-16-0), can lift a single RGB image from an off-the-shelf webcam into a neural radiance field (NeRF) representation in real-time, and pave the path forward towards making 3D telepresence accessible to anyone [\[63\]](#page-20-1).

Besides democratizing 3D human telepresence, single-frame-based lifting techniques such as LP3D [\[70\]](#page-20-0), have the further advantage of faithfully preserving the instantaneous dynamic conditions present in an input video, e.g. of lighting, expressions, and posture, all of which are crucial to an authentic telepresence experience. However, single-image reconstruction methods that operate independently on each frame, are not ideal for maintaining temporal consistency. This difficulty stems from the inherent ill-posed nature of single-image-based reconstruction. In order to render novel views that are significantly far from the input view, the system cannot rely on information present in the input view and hence must hallucinate plausible content, which cannot be guaranteed to be consistent across multiple temporal frames (e.g., first row in Fig. [1,](#page-0-0) where the frontal view prediction by LP3D [\[70\]](#page-20-0) does not match the frontal reference image.). This makes the system susceptible to changes in the lifted 3D portrait's appearance, depending on the user's head pose in the input frame. We find that the most structurally reliable triplane is often produced by an input image with a nearly frontal head pose as shown in Fig. [3](#page-8-0) (first column).

On the opposite spectrum, to single-image-based lifting techniques for telepresence, are 3D self-reenactment methods [\[12,](#page-17-0)[40,](#page-18-3)[69,](#page-20-2)[79\]](#page-21-0). 3D reenactment method creates a canonical frame from a reference image (s) representing the appearance of the user (usually a mouth closed, frontal neutral frame; the first column in Fig. [3\)](#page-8-0), and use a separate driving video to control the facial expressions and poses of the avatar. They produce temporally consistent results, but do not faithfully reconstruct the input video's dynamic conditions, *i.e.* the actual appearance of the user at the moment such as person-specific expressions, and lighting. It is

also not always possible to capture these conditions from the driving video (*e.g.*) from cameras in a headset). Moreover, reenactment methods often struggle to authentically reconstruct the accurate expressions of the user because the expression control is not precise enough. Additionally, reenactment methods often fail to reconstruct details not present in the reference image  $(e.g.$  lighting variation in the bottom row of Fig. [1;](#page-0-0) teeth and tongue in Fig. [5\)](#page-13-0). All of these factors sacrifice realism in 3D reenactment-based methods, making them not ideal for 3D portrait video reconstruction.

In this work, we firstly, recognize the need to maintain both temporal consistency while preserving real-time dynamics of input videos in human telepresence applications. We further address both problems together for the first time in single-view 3D portrait synthesis to enable the best user experience. Our key insight to solving this problem is to employ a fusion-based approach to achieve all of these properties: the approach needs to leverage the stability and accuracy of a personalized 3D prior, and needs to fuse the prior with per-frame observations to capture the diverse deviations from the prior.

Our model first uses pretrained LP3D [\[70\]](#page-20-0) to construct a personal triplane prior from a (near) frontal image of the user, which can be casually or passively captured or extracted from a video. During video reconstruction, our model uses LP3D to lift each input frame into a raw triplane, which is then fused with the personal triplane prior. When the head pose of the input image is oblique, artifacts and identity distortions may be present in its lifted triplane (see Fig. [1](#page-0-0) and [3\)](#page-8-0). Hence we first propose an undistorter module, which learns to undistort the raw instantaneous triplane to more closely match the structure of the correctly-structured prior triplane. We then propose a fuser module, which learns to densely align the undistorted raw triplane to the reference triplane and then fuse the two in a manner that incorporates personalized details such as tattoos or birthmarks present in the reference triplane, while preserving dynamic lighting, expression and posture information from the input raw triplane.

Similar to LP3D, we also leverage a pre-trained 3D GAN (*i.e.* Next3D [\[65\]](#page-20-3), which is an expression-conditioned 3D GAN) as the generator to synthesize dynamic 3D portraits to train our system and thus circumvent the scarcity of realworld 3D portrait data. We train our model using multiview images rendered from these dynamic 3D portraits. Additionally, we perform various augmentations during data generation to enhance the synthetic data such that our model not only learns from expression changes synthesized by Next3D, but also shoulder rotation and different lighting conditions that cannot be synthesized by the pre-trained generator.

Lastly, we find that the current established evaluation framework [\[70\]](#page-20-0) only measures a model's ability to reproduce its input image, and relies on visual comparisons to assess the quality of novel views. Since no prior work addresses temporal consistency, their evaluation protocol overlooks this dimension. It is an important consideration in telepresence, where the quality of novel views are often more important than reproducing the input image. To address this gap, we additionally formulate a new evaluation protocol specifically designed

to measure a method's robustness to input image's head pose variations as well as the consistency across its novel views.

- Major contributions of our work include:
- We recognize a novel problem: the need to achieve both temporal consistency and reconstruction of dynamic appearances when using single-view 3D lifting solution for telepresence, essential for enabling enhanced user experiences.
- We propose a novel triplane fusion method that fuses the dynamic information from per-frame tripanes with a personal triplane prior extracted from a reference image. Trained only using a synthetic multi-view video dataset, our feedforward approach generates 3D portrait videos that demonstrate both temporal consistency and faithful reconstruction of dynamic appearances (e.g. lighting and expression) of the user at the moment, whereas prior solutions can only achieve one of the two properties.
- We propose a new framework to evaluate single-view 3D portrait reconstruction methods using multi-view data. This new framework not only provides accurate evaluation of a method's reconstruction quality by using different viewpoints for evaluation, but also provides insights to a method's robustness by using different viewpoints as inputs.
- Evaluations on both in-studio and in-the-wild datasets demonstrate that our method achieves state-of-the-art performance in both temporal consistency and reconstruction accuracy.

# 2 Related Work

Multi-view 3D/4D face reconstruction. Creating high-fidelity 3D or 4D representations of human heads has a long history in computer vision and graphics. Most earlier works rely on complex multi-view camera systems to capture 3D geometry of faces using multiview stereo algorithms [\[3,](#page-16-1) [21\]](#page-17-1), facial performance  $[4, 6, 8, 20, 22, 23, 50, 74]$  $[4, 6, 8, 20, 22, 23, 50, 74]$  $[4, 6, 8, 20, 22, 23, 50, 74]$  $[4, 6, 8, 20, 22, 23, 50, 74]$  $[4, 6, 8, 20, 22, 23, 50, 74]$  $[4, 6, 8, 20, 22, 23, 50, 74]$  $[4, 6, 8, 20, 22, 23, 50, 74]$  $[4, 6, 8, 20, 22, 23, 50, 74]$  and photorealistic appearance using active illumination systems [\[25,](#page-18-4)[36,](#page-18-5)[45\]](#page-19-5). However, they require expensive capture hardware with offline processing and do not scale to the end users for the purpose of generating photorealistic avatars from commodity devices.

2D portrait reenactment. Given a single or a few reference portrait images and a driving video, recent talking-head generators can reenact 2D portraits by transferring the facial expressions and poses from the driving video onto facial portraits [\[16,](#page-17-6)[17,](#page-17-7)[28,](#page-18-6)[61,](#page-20-5)[72,](#page-20-6)[73,](#page-20-7)[80,](#page-21-1)[82](#page-21-2)[–84,](#page-21-3)[86\]](#page-21-4). As a 2D portrait generation method, while they can manipulate head poses and expressions of the avatars within 2D portraits to some extent, they do not predict volumetric 3D representations and cannot be rendered from novel view point, which is crucial for 3D telepresence. 3D-aware portrait generation and reenactment. To incorporate 3D consistency, some methods combine mesh-based 3D face representations using 3D morphable models  $(3DMM)$  [\[7,](#page-17-8)[39\]](#page-18-7) with 2D neural rendering [\[14,](#page-17-9) [34,](#page-18-8) [49,](#page-19-6) [66,](#page-20-8) [68,](#page-20-9) [71\]](#page-20-10) to embed facial expressions and pose controls in a 2D neural renderer (see the full survey in [\[67\]](#page-20-11)). Some more recent works use deformable volumetric implicit radiance field-based representations [\[48,](#page-19-7) [53,](#page-19-8) [54\]](#page-19-9) or gaussian splatting-based representations [\[33\]](#page-18-9) combined by 3DMM-based face representations to reconstruct a photorealistic and animatable volumetric head avatar [\[2,](#page-16-4) [10,](#page-17-10) [24,](#page-17-11) [56,](#page-19-10) [60,](#page-20-12) [77,](#page-21-5) [87\]](#page-21-6). However, they tend to require extensive data captures from videos or from a multiview camera setup and person-specific training. Another very recent family of methods use a large-scale video dataset and learn a disentangled triplane 3D representations [\[11\]](#page-17-12) that allows 3D-aware facial reenactment in a feedforward fashion  $[12, 40, 41, 46, 69, 79, 81]$  $[12, 40, 41, 46, 69, 79, 81]$  $[12, 40, 41, 46, 69, 79, 81]$  $[12, 40, 41, 46, 69, 79, 81]$  $[12, 40, 41, 46, 69, 79, 81]$  $[12, 40, 41, 46, 69, 79, 81]$  $[12, 40, 41, 46, 69, 79, 81]$ . As a reenactment method, these methods construct a canonical 3D representation from a reference image (often a neutral frame), and use facial expressions and head poses extracted from a separate driving video to drive the 3D representation. As such, fine-grained facial expressions may not be captured due to errors in disentanglement. Most importantly, these reenactment methods hallucinate person-specific dynamic appearance of the user (e.g., person-specific wrinkles) since they are not observed in the reference frame. 3D GAN inversion. By combining generative adversarial networks (GAN) [\[26\]](#page-18-11) and neural volume rendering [\[48\]](#page-19-7), recent breakthroughs in 3D-aware GANs [\[11,](#page-17-12) [15,](#page-17-13)[27,](#page-18-12)[51,](#page-19-12)[59,](#page-19-13)[62,](#page-20-13)[75,](#page-20-14)[76,](#page-21-8)[78,](#page-21-9)[85,](#page-21-10)[88\]](#page-21-11) demonstrated the unsupervised learning of photorealistic 3D-aware human heads from in the wild 2D images. Notably, EG3D presented triplane 3D representations [\[11\]](#page-17-12) which can generate photorealistic 3D portraits in real-time. Next3D [\[65\]](#page-20-3) extends EG3D to create 3D portrait videos controlled by 3DMM facial expression and pose parameters. We use Next3D to create our synthetic multiview video training data to supervise our network. Once these 3D head priors are trained, they can be used to perform single-view 3D reconstruction using GAN inversion to lift a portrait to 3D [\[19,](#page-17-14) [37,](#page-18-13) [43,](#page-19-14) [64\]](#page-20-15), manipulate the 3D avatar [\[29,](#page-18-14) [64,](#page-20-15) [89\]](#page-21-12), or 3D personalization [\[9,](#page-17-15) [55\]](#page-19-15). Since the single-view 3D GAN inversion is both time consuming and fragile if the camera pose is not optimized together [\[37\]](#page-18-13), some recent works [\[5,](#page-16-0) [70\]](#page-20-0) proposed an encoder-based solution. In this work, we build our method on the state-of-the-art single-view triplane encoder LP3D [\[70\]](#page-20-0). While it has demonstrated an excellent capability to lift a challenging real-world image to 3D, it lifts every frame independently from scratch, and exhibits temporal inconsistency—a key limitation to create a practical 3D telepresence system. We propose a triplane-fusion-based method which improves the temporal stability of LP3D.

# 3 Definitions

Given that this work targets a new task, we define terminologies in this section to avoid confusion. We define an "input viewpoint" to be the viewpoint of the input video relative to the user's head. We use both the terms "dynamic appearance" and "per-frame information" to be the dynamically varying information in a portrait video, such as expressions, lighting condition, and shoulder pose. We define the "input frame" to be the current video frame that is being converted into a 3D portrait, and the "reference image" to be the image used to capture the prior knowledge of a person, such as a near frontal image. We define the triplane reconstructed from the reference image as the "triplane prior" because it encodes a personalized geometric prior about the user. Frontal images capture both sides of the user's face and thus can help with reconstruction when the input frame captures the user from the sides. We redefine these two terms

to emphasize that the fusion process is different from self-reenactment: the goal of fusion is to enhance per-frame reconstruction methods with prior information while capturing the authentic dynamic appearance of the user in a video at the same time. Dynamic appearance is critical to reconstructing the liveliness of an actual person, whereas reenactment methods focus on driving an avatar instead of reconstructing authentic dynamic appearances in a video.

# 4 Method

Our method aims to reconstruct coherent 3D portrait videos from a monocular RGB video without test-time optimization. To improve temporal consistency and reconstruction of occluded areas, we leverage an additional reference image, which can be obtained from the same video or a selfie capture. An overview of our method is illustrated in Fig. [2.](#page-5-0) Our model first converts an input frame into a raw triplane using a frozen pre-trained LP3D (Sec. [4.1\)](#page-6-0). Then, the Triplane Undistorter (Sec. [4.3\)](#page-7-0) removes view-dependent distortions and artifacts by leveraging the triplane prior, resulting in an undistorted triplane. Finally, the Triplane Fuser (Sec. [4.4\)](#page-9-0) constructs the final triplane by enhancing the undistorted triplane with additional information from the triplane prior.

<span id="page-5-0"></span>

Fig. 2: Overview. Given a (near) frontal reference image and an input frame, we reconstruct a triplane prior and a raw triplane respectively using an improved LP3D [\[70\]](#page-20-0) (Sec. [4.1\)](#page-6-0). Next, we combine these two triplanes through a Triplane Fusion module (blue box) that ensures temporal consistency while capturing realtime dynamic conditions like lighting and shoulder pose (Sec. [4.3](#page-7-0) and Sec. [4.4\)](#page-9-0). Our model is trained with only synthetic video data generated by a 3D GAN [\[65\]](#page-20-3), with carefully designed augmentation methods to account for shoulder motion and lighting changes (Sec. [4.2\)](#page-6-1).

### <span id="page-6-0"></span>4.1 Background: 3D Portrait from a Single Image

LP3D. The recently proposed LP3D [\[70\]](#page-20-0) method performs photorealistic 3D portrait reconstruction from a single RGB image. Specifically, it uses a feedforward encoder to convert an RGB image into a triplane  $\mathbf{T} \in \mathbb{R}^{3 \times 32 \times 256 \times 256}$ . Then, LP3D performs volume rendering to decode the triplane into an RGB image. During volume rendering, point samples  $\mathbf{x} \in \mathbb{R}^3$  are generated by ray marching from the rendering camera. These point samples are projected onto each of the three planes (*i.e.* xy-plane, xz-plane, and yz-plane), producing bilinearly interpolated features  $f_{xy}$ ,  $f_{xz}$ , and  $f_{yz}$ . The three features are averaged to produce the mean feature  $f'$ , which is then decoded by a lightweight Multi-Layer Perceptron (MLP) into RGB color c, density  $\sigma$ , and a feature vector f:

$$
(\mathbf{f}, \mathbf{c}, \sigma) = MLP(f'). \tag{1}
$$

These point samples are aggregated together to form pixels through volume rendering [\[48\]](#page-19-7), resulting in a low-resolution feature image  $I_f \in \mathbb{R}^{32 \times 128 \times 128}$ . The first 3 channels of  $I_f$  are trained to produce an RGB image  $I_{low} \in \mathbb{R}^{3 \times 128 \times 128}$ . Finally, a lightweight 2D convolutional neural network super-resolves  $I_f$  into the final rendering:

$$
SuperRes(I_f) = I_{high} \in \mathbb{R}^{3 \times 512 \times 512}.
$$
\n
$$
(2)
$$

LP3D leverages synthetic data generated from a pre-trained 3D GAN  $(i.e.$ EG3D [\[11\]](#page-17-12)) and thus circumvents the challenging problem of large-scale 3D groundtruth data acquisition. During training, LP3D is supervised by both the groundtruth EG3D triplanes as well as the rendered 2D images. As a result of the effectively infinite amount of 2D and 3D groundtruth, LP3D is able to generate photorealistic 3D portraits. Since it directly maps from images to triplanes via an encoder, LP3D can run in real-time and was thus developed into a complete realtime telepresence system [\[63\]](#page-20-1).

Modifications. Our implementation of LP3D is slightly different and improved from the original in that we enlarge the cropping of the input portrait image to include more of the shoulders, because shoulders are important for conveying body language and the sense of realism in telepresence. Our implementation also includes an additional camera estimator that takes in LP3D's intermediate features to estimate a set of camera parameters  $M \in \mathbb{R}^{25}$ . M represents an estimation of the intrinsic and extrinsic parameters of the camera used to capture the input image. The camera estimator allows LP3D to better recreate the input image and improves robustness to inaccurate head poses estimated by off-theshelf trackers. We use our own implementation of LP3D for all evaluations.

### <span id="page-6-1"></span>4.2 Generating Synthetic Dynamic Multiview Data

Inspired by LP3D [\[70\]](#page-20-0) and the development of animatable 3D GANs, we use Next3D [\[65\]](#page-20-3) to generate animated 3D portraits as groundtruth training data. During data preparation, we first pre-process the FFHQ [\[31\]](#page-18-15) dataset into facial landmarks and FLAME [\[39\]](#page-18-7) coefficients using DECA [\[18\]](#page-17-16). During the training of our model, we randomly sample a pair of FLAME coefficients and landmarks from the pre-processed dataset and a single style code corresponding to a random identity. As shown on the lower left of Fig. [2,](#page-5-0) these data are input to Next3D to generate a pair of triplanes for  $t = 0$  and  $t = 1$ , each depicting a different expression of the same synthetic person. The triplane for  $t = 0$  is used to render the reference image from a frontal viewpoint (Fig. [2](#page-5-0) bottom). The triplane for  $t = 1$  is used to render the input frame, the frontal novel view image (used for supervision), and the groundtruth image for the sampled novel viewpoint. The groundtruth novel view and the frontal novel view are only used for supervision. In order to learn to fuse images under different lighting conditions, we also apply two separate color space augmentations to images at  $t = 0$  and  $t = 1$ , each involving random alterations to brightness, contrast, saturation, and hue.

Shoulder Augmentation. It is important that 3D portraits include shoulders for improved realism and inclusion of body language in telepresence. In order for our model to learn to fuse images with different shoulder poses, we generate synthetic data of the same person with various shoulder rotations. However, Next3D does not provide control over shoulder rotation, and it is difficult to manipulate triplanes due to their implicit nature. Therefore, we propose to perform shoulder rotation augmentation during volume rendering. Please refer to the supplementary for a visualization of this process. In essence, we warp camera rays during volume rendering to simulate shoulder movement in the rendered image without having to modify the Next3D triplane. Through this augmentation, we synthesize various shoulder poses in rendered 2D images without modifying the Next3D triplane.

Pseudo-Groundtruth Triplanes. As a result of the shoulder augmentation, the 2D renderings involve changes that are not present in the original Next3D triplanes. This means that the Next3D triplanes cannot be used as direct supervisory signals. On the other hand, LP3D often generates reasonably accurate triplanes on frontal view images. Therefore, to provide direct supervision signals to both the Triplane Undistorter  $U$  and Fuser  $F$  modules, we use a frozen LP3D to predict pseudo-groundtruth triplanes  $T_{frontalGT}$  from the frontal novel view of  $t = 1$  (see bottom of Fig. [2\)](#page-5-0).

#### <span id="page-7-0"></span>4.3 Removing Distortion and Preserving Identity

Our model uses a frozen pretrained LP3D to first predict a raw triplane  $T_{raw}$ from an input video frame  $I_{in}$ . Even though LP3D excels at faithfully reconstructing the 2D image from the input view, the quality of the actual 3D reconstruction is highly dependent on the person's head pose in the input image. For example, when the user is captured from the sides, LP3D often produces undesired artifacts such as incorrect identity, distortion along the camera's viewing direction (Fig. [3\)](#page-8-0), and artifacts on the side of the camera (Fig. [1](#page-0-0) top).

Visualizing the triplanes gives more insight to this problem and potential solutions. As shown in Fig. [3,](#page-8-0) when the person is captured from the sides, the raw triplanes  $T_{raw}$  often exhibit abnormally strong activations on the side being captured, as well as geometric distortion along the view direction of the camera. For example, for "Input Frame 1" and "Input Frame 2" columns, the camera

<span id="page-8-0"></span>

Fig. 3: View-Dependent Distortion: Top: inputs to our model and LP3D. Second  $\&$  Third Rows: LP3D's reconstructions varies greatly under challenging viewpoints, showing predictable pattern of artifacts including abnormally strong activations on the side being captured (red circle), as well as geometric distortion along the view direction of the camera. We refer to this phenomenon as "View-Dependent Distortion". Fourth  $\mathscr C$  Fifth Row: Our method removes such artifacts and achieves better coherence.

captures the person from his left, and the LP3D triplanes show strong activation on the left side of the person (red circles), and the triplane and resulting renderings are also distorcted in the horizontal direction. This phenomenon can be ascribed to the inherent ambiguity of single-image reconstruction. At the same time, we also notice that LP3D often work well with frontal views, which provide more complete identity information and less occlusion than side views. Therefore, to reduce the single-image ambiguity, we use LP3D to reconstruct a personal triplane prior  $T_{prior}$  from a frontal image, and we use  $T_{prior}$  to constrain reconstructions of subsequent video frames.

A simple way to leverage the personal prior is to input both the triplane prior and current input frame into a neural network and rely on large scale training and data to help the model learn to generate coherent reconstruction. However, we later show in Table. [2](#page-15-0) (row "Only Fuser") that this approach turns out to be not very effective. We find that it is easier for a network to correct the 3D reconstruction by warping the triplane rather than by generating a new triplane. Therefore, we devise a Triplane Undistorter  $U$  (see the pipeline Fig. [2\)](#page-5-0) that learns to correct the raw triplane  $T_{raw}$  using triplane prior  $T_{prior}$  as a reference and produces an undistorted triplane  $T_{undist}$ :

$$
U(T_{raw}, T_{prior}) = T_{undist} \in \mathbb{R}^{3 \times 32 \times 256 \times 256} \tag{3}
$$

More specifically, the Undistorter  $U$  is based on the optical flow architecture from SPyNet [\[57\]](#page-19-16). SPyNet was originally developed to estimate dense optical flow to

warp a source RGB image  $I_{src}$  to a target image  $I_{tot}$  by iteratively estimating warping fields in a coarse-to-fine fashion. We find that the same architecture is effective in predicting an undistortion flow map  $T_{flow} \in \mathbb{R}^{3 \times 32 \times 256 \times 256}$ , that reduces the distortion in  $T_{raw}$ :

$$
T_{flow} = SPyNet(T_{raw}, T_{prior}),
$$
\n(4)

$$
T_{undist} = Warp(T_{raw}, T_{flow}).
$$
\n<sup>(5)</sup>

Notice that the undistortion process is not optical flow prediction. While a flow estimator would predict a flow that aligns the two inputs, Undistorter U does not align the two inputs, *i.e.* it does not warp  $T_{raw}$  to  $T_{prior}$  or vice versa. Instead, it merely uses  $T_{prior}$  as the conditioning input to predict a correction warping to  $T_{raw}$ , producing  $T_{undist}$ .  $T_{undist}$  is supervised by the pseudo-groundtruth triplane  $T_{triplaneGT}$  (Sec. [4.2\)](#page-6-1) via a triplane loss:

$$
L_{undist} = L_1(T_{undist}, T_{triplaneGT}).\t\t(6)
$$

#### <span id="page-9-0"></span>4.4 Incorporating the Personal Triplane Prior via Triplane Fusion

As the user moves around in the video, different parts of their head become occluded. To recover occluded areas in the input frame and further stabilize the subject's identity across the video, our Fuser  $F$  enhances the reconstruction by incorporating a personal triplane prior  $T_{prior}$  lifted from a frontal reference image. Triplane priors  $T_{prior}$  are essential to this process because the currently occluded areas are often visible in frontal images and  $T_{prior}$ , and frontal images also provides rather complete information about the person's identity and facial geometry, beneficial to stable identity reconstruction. We feed both the undistorted triplane  $T_{undist}$  and the triplane prior  $T_{prior}$  to the Triplane Fuser F to produce the final fused triplane  $T_{fused}$  (see the pipeline Fig. [2\)](#page-5-0).

During this process, it is important that the Fuser  $F$  preserves the visible information in the input frame in order to accurately reconstruct dynamic conditions such as lighting changes. Therefore, we explicitly predict a 3D visibility map for the input frame by estimating a visibility triplane  $T_{vis}^{raw} \in \mathbb{R}^{3 \times 128 \times 128}$ for  $T_{raw}$ , *i.e.* one visibility map for each plane, using a a 5-layer ConvNet.  $T_{raw}$ and  $T_{vis}^{raw}$  are then concatenated and undistorted together before being input to the Fuser F alongside  $T_{prior}$  and its visibility triplane  $T_{vis}^{prior}$ . In this way, Fuser F preserves visible facial regions in  $T_{raw}$  and can recover the occluded regions using the triplane prior  $T_{prior}$ .

To train the visibility predictor, we calculate the visibility loss  $L_{vis}$  as the  $L_1$  distance between the predicted visibility triplanes  $(T_{vis}^{raw}$  and  $T_{vis}^{prior}$ ) and the groundtruth visibility triplanes  $(T^{raw}_{visGT} \text{ and } T^{prior}_{visGT})$ :

$$
L_{vis} = L_1(T_{vis}^{raw}, T_{visGT}^{raw}) + L_1(T_{vis}^{prior}, T_{visGT}^{prior}).
$$
\n(7)

We compute the groundtruth visibility triplanes  $(T_{visGT}^{raw}$  and  $T_{visGT}^{prior}$ ) by first rendering a triplane into a depth image from the its input viewpoint. Then, we lift the depth map into a point cloud and project the points back onto the three planes in the triplane. The resulting visibility triplane  $T_{visGT}$  is thus 1 for pixels where there is a point projection, and 0 otherwise. In this process we also calculate an occlusion mask  $T_{occMask}^{raw} \in \mathbb{R}^{3 \times 256 \times 256}$  for the current frame  $T_{raw}$ as the difference between the visibility  $T_{vis}^{raw,frontal}$  of the frontal view and  $T_{vis}^{raw}$ of the input view. Please see the supplement for the full pipeline that includes the visibility calculation, visualization of the visibility triplanes, and training details.

To supervised the Fuser F, we calculate the fusion loss  $L_{fusion}$  as the  $L_1$ loss between the fused triplane  $T_{fused}$  and the pseudo-groundtruth triplane  $T_{frontalGT}$ . We also upweight the occluded regions via the occlusion mask  $T_{occMask}^{raw}$ :

$$
L_{fusion} = Mean(||T_{fused} - T_{triplaneGT}||(1 + T_{visGT} + T_{occMask}))
$$
 (8)

We use the Recurrent Video Restoration Transformer (RVRT) [\[42\]](#page-18-16) as the backbone of our Fuser  $F$  because of its memory efficiency. We replace the final summation skip connection in RVRT with convolutional skip connection because the summation skip connection prevents effective learning. This is because the original RVRT was designed to correct local blurriness and noises in a corrupted RGB video, whereas our triplane videos exhibit structural distortion on a much larger scale and the summation skip connection thus limits the model's ability to correct the general structure. We thus replace the summation with a small 5-layer ConvNet.

Lastly, note that both the Undistorter  $U$  and the Fuser  $F$  consist of 3 separate but identical fusers for each of the 3 planes because we find that using a single network to process all three planes causes collapse to 2D (please see supplementary for the visualization and discussions on such effects).

#### 4.5 Training Losses

Our loss function is the summation of four loss terms that provide two types of supervision: (a) direct triplane space guidance used to supervise the undistortion process in the Undistorter  $U$ , the visibility prediction process, and the fusion process in the Fuser  $F$ ; and (b) image space guidance for overall learning of high-quality image synthesis:

$$
L = w_{undist}L_{undist} + w_{vis}L_{vis} + w_{fusion}L_{fusion} + w_{render}L_{render}.
$$
 (9)

 $w_{undist}, w_{viz}, w_{fusion}$ , and  $w_{render}$  are scalar weights for the different loss terms.  $L_{render}$  is calculated as the perceptual loss  $L_{LPIPS}$  between the groundtruth novel view  $I_{GT}$  and the rendered novel view  $I_{render}$ :

$$
L_{render} = L_{LPIPS}(I_{GT}, I_{render})
$$
\n(10)

# 5 Results

As discussed before, current methods like LP3D [\[70\]](#page-20-0) can overfit to the input viewpoints, but exhibits significant artifacts when synthesizing novel viewpoints

for challenging input views like a profile picture. Therefore, we need to evaluate the methods by examining their reconstruction across multiple viewpoints instead of only from the input view as done previously.

#### <span id="page-11-0"></span>5.1 Metrics

We measure the accuracy of reconstructed identities by calculating the ArcFace [\[13\]](#page-17-17) cosine distance between the  $I_{render}$  and  $I_{GT}$ :

$$
ID = 1 - ArcFace(I_{render}) \cdot ArcFace(I_{GT}). \tag{11}
$$

To measure the accuracy of reconstructed expressions, we use the NVIDIA Maxine AR SDK [\[1\]](#page-16-5) to measure the  $L_2$  distance between expression coefficients  $e_{render}$  of the rendered image and  $e_{GT}$  of the groundtruth:

$$
Expr = L_2(Maxine(I_{render}), Maxine(I_{GT})).
$$
\n(12)

Multi-View Evaluation of Single-View Reconstruction: Due to the lack of 3D ground-truth for real-world data, prior methods are often evaluated on the input view reconstruction task using quantitative metrics like PSNR, whereas the novel view synthesis task often relies on visual assessments. However, evaluating a reconstruction using only a single viewpoint can lead to ambiguities and inaccurate conclusions. For example, if the evaluation is only performed using the input viewpoint, then a method can overfit to the input view to achieve high numeric scores even if its reconstruction is highly inaccurate when rendered from novel viewpoints. Moreover, single-view reconstruction methods can be heavily affected by the choice of input viewpoints. As shown in Fig. [3,](#page-8-0) different input views can lead to very different reconstructions. Therefore, there are two variables crucial to the evaluation of single-view reconstruction methods: the choice of the input viewpoint and the choice of the evaluation viewpoint. We thus propose new multi-view metrics that evaluate a model across different inputevaluation viewpoint combinations. Using these new metrics, a method can only achieve high numeric performances when it consistently generates high-quality reconstructions regardless of the choice of input or evaluation viewpoints:

Overall Synthesis Quality: Given N views in the dataset, we evaluate a method's average performance across different input-evaluation viewpoint combinations. More specifically, at each frame, each of the  $N$  cameras is used as the input viewpoint to produce  $N$  reconstructions in total, and each of the  $N$  reconstruction is rendered and evaluated on the N viewpoints, resulting in an  $N \times N$ score matrix (Fig. [4\)](#page-12-0). We use  $N = 8$  views in the NeRSemble [\[35\]](#page-18-17) dataset. Thus, for a test sequence with  $T$  frames, we generate a spatial-temporal score matrix  $S^{T \times 8 \times 8}$  for each of the metric (see the supplement for example visualization):

$$
\mathbf{S}_{t,i,j} = Metric(\mathbf{I}_{render}^{t,i,j}, \mathbf{I}_{GT}^{t,j}), 1 \le i, j \le N, 1 \le t \le T.
$$
 (13)

$$
s = Mean(\{\mathbf{S}_{t,i,j}\}).\tag{14}
$$



<span id="page-12-0"></span>

Fig. 4: Example Score Matrix and Output Image Matrix. (a) We shown example Score Matrices S for the sequence "SEN-10-port\_strong\_smokey" in NeRSemble [\[35\]](#page-18-17). Left 2 Columns: Ours and LP3D's score matrices averaged over the test sequence. LPIPS (top) and ArcFace distance (bottom) are the lower the better, and PSNR (middle) is the higher the better. Right Column: red color represents improvement comparing to LP3D, and blue represents degradation. Our model achieves higher average performance and more uniform performance (lower standard deviation, more uniform color) whereas LP3D overfits to the input viewpoint and thus achieve higher performance for input views, but performs badly for novel views. (b) Example Output Image Images for LP3D and Ours on a frame in the sequence "EXP-3-cheeks+nose".

where  $Metric(\cdot)$  can be LPIPS, PSNR, ID, and  $Expr$  explained below.  $\mathbf{I}_{render}^{t,i,j}$ is the image rendered using camera  $i$  as the input frame and camera  $j$  as the output rendering view at frame t.  $\mathbf{I}_{GT}^{t,j}$  is the groundtruth frame captured by camera  $j$  at frame  $t$ . The Overall Synthesis Quality  $s$  is thus the average over all score entries in S. For a dataset of multiple test sequences, the final Overall Synthesis Quality is the average score of all sequences.

Novel View Synthesis (NVS) Quality: Novel View Synthesis Quality  $s_{NV}$  is the average over all scores corresponding to novel view synthesis, *i.e.*, the input view  $i$  is different from output rendering view  $j$ :

$$
s_{NV} = Mean(\{\mathbf{S}_{t,i,j} | i \neq j, 1 \le t \le T\}).\tag{15}
$$

Additionally, it is also important to measure whether a method can authentically reconstruct dynamic real-life conditions in the video such as changes in lighting and shoulder poses. However, there is no existing multi-view in-thewild portrait video dataset to support the evaluation of view synthesis quality. We thus qualitatively evaluate the methods on challenging in-the-wild portrait videos. Please see supplementary materials for image examples and video results.

<span id="page-13-0"></span>

Fig. 5: Visual comparisons with baseline methods. Our method strikes a balance between coherent reconstruction and faithful dynamic conditions like expressions. LP3D (third column) exhibits inconsistencies in identities, hairstyles, and artifacts (red circles). GPAvatar (fourth column) fails to capture challenging expressions (first row), new information not present in the reference image, (the stuck-out tongue in second and third rows), and identity of the person (last row).

# 5.2 Dataset

We quantitatively evaluate the methods on the NeRSemble [\[35\]](#page-18-17) dataset, which is a high-quality multi-view portrait video dataset recorded with 16 calibrated time-synchronized cameras in a controlled studio environment. The images are captured at 7.1 MP resolution and 73 frames per second. There are 10 recordings in the test set, capturing a total of 10 individuals performing different expressions. NeRSemble provides us with the ability to evaluate the Overall Synthesis Quality and NVS Quality using the different input-evaluation viewpoint combinations. One of the 10 test sequences involves severe facial occlusion from hair that causes most of the methods' face trackers to fail for significant portions of the recording for many of the viewpoints. We thus leave out that sequence because the results would not be a reliable assessment of quality. We also use 8 roughly evenly separated cameras out of all 16 cameras during the evaluation.

### 5.3 Comparisons

Baselines We compare our method with recent methods from 3 categories:

Reconstruction: We evaluate LP3D [\[70\]](#page-20-0) using the above protocol. We provide LP3D with the image from the input viewpoint and evaluate on all 8 viewpoints from the NeRSemble dataset.

Reenactment: Li et al. [\[41\]](#page-18-10) is able to reconstruct 3D portraits into a triplane from a reference image without test-time optimization, and they drive the reeconstruction via the frontal rendering of a 3DMM that modifies the expression in the original triplane. Concurrent to our work, GPAvatar [\[12\]](#page-17-0) reconstructs 3D portraits by leveraging multiple source images and driving them through a FLAME [\[39\]](#page-18-7) mesh model. We test both methods in the self-reenactment setting. We use the first frame of the frontal camera in each NeRSemble test sequence as the reference image, and we drive it using videos of all 8 viewpoints. We evaluate GPAvatar using the same evaluation protocol as our method and LP3D. We evaluated Li et al. [\[41\]](#page-18-10)'s approach using the input views as the only evaluating views, which are computed by the original authors, instead of all 8 views.

Inversion: We also evaluate VIVE3D [\[19\]](#page-17-14), which is a state-of-the-art 3D GAN inversion method for videos, and it can also perform semantic video editing. To perform inversion and evaluation on NeRSemble, VIVE3D's 3D GAN is first personalized using 3 frames from the input viewpoint video before inverting and rendering the reconstructed video from all 8 viewpoints.

Unfortunately, each of the above methods use different croppings of the face. We standardize the evaluation by re-cropping all methods to our cropping protocol, which is the largest of all. Please see the supplement for an additional table, where we evaluate the methods using different croppings around the face and arrive at conclusions consistent with Table. [1.](#page-15-1)

Quantitative Results As mentioned before, we evaluate the methods using different input-evaluation viewpoint combinations, providing robust multi-view estimation for each of the metrics. Table. [1](#page-15-1) shows that our model achieves stateof-the-art performance across all metrics versus recent works. Notably, LP3D is heavily affected by the input viewpoint, and our method is able to better preserve subject identity and expression (see Fig. [3](#page-8-0) and [5\)](#page-13-0). On the other hand, the reenactment methods struggle to capture authentic expressions because of the use of morphable face models, which have limited expressiveness. Moreover, they cannot faithfully reconstruct dynamic conditions (e.g. the stuck-out tongue in the second and third rows of Fig. [5\)](#page-13-0) because they solely rely on information present in the source/reference images and do not incorporate new per-frame information. On the other hand, our method faithfully captures dynamic conditions and coherent reconstruction at the same time.

Ablations We additionally evaluate two variations of our model: (a) with only the Triplane Undistorter added to LP3D (Table. [2,](#page-15-0) row 2), and (b) with only the Triplane Fuser F added to LP3D (row 3). In addition to the PSNR and LPIPS metrics, we develop two new metrics:

(a) Novel View Variation (NVV) We evaluate how much a method's reconstruction quality varies across different evaluation views. We quantify this as the standard deviation of performance across the  $N$  evaluating views using the same input view, *i.e.* horizontal rows  $1 \leq i \leq N$  of the score matrix S (Tab. [2](#page-15-0) second

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<span id="page-15-1"></span>

Method	Type	$Expr\downarrow$	ID $\downarrow$	Overall Synthesis Quality		NVS Quality	
				PSNR <sup>1</sup> LPIPS.		PSNR↑	LPIPS↓
Li et al. [41] $ {\rm recent}  0.2657   0.2410   18.5733$					0.2546	18.2020	0.2624
GPAvatar [12] reenact 0.2041   0.2074   21.9487					0.2334	21.9487	0.2334
VIVE3D [19]   invert   0.2900   0.3951   18.5771					0.2593	18.1449	0.2710
$LP3D$ [70]				recon $\vert 0.1676 \vert 0.2154 \vert 22.3309$	0.2232	21.5246	0.2374
Ours				recon $ 0.1584 0.1865 22.7695$	0.2189	22.4395	0.2240

Table 1: Comparison on Nersemble [\[35\]](#page-18-17): Our evaluation protocol (Sec. [5.1\)](#page-11-0) utilizes multi-view groundtuth to evaluate each model. Under this robust evaluation, our method achieves state-of-the-art performance across all metrics. Our method achieves the best view synthesis accuracy and robustness to input viewpoints ("Overall Synthesis Quality" & "NVS Quality") while accurately capturing the identity and expression.

<span id="page-15-0"></span>

Method	U	$\overline{F}$	PSNR <sup>+</sup>	<b>LPIPS</b>			Input View Variation   Novel View Variation	
					<b>PSNR</b>	<b>LPIPS!</b>	PSNR <sub>J</sub>	LPIPSJ
LP3D [70] X		$\boldsymbol{X}$	22.3309	0.2232	1.0248	0.0152	2.2002	0.0532
Ours		¥	22.1963	0.2212	0.9069	0.0086	1.6990	0.0382
			22.2650	0.2225	0.5593	0.0062	1.3150	0.0285
			22.7695	0.2189	0.2453	0.0045	1.3829	0.0372

Table 2: Ablation studies. We test two variations of our models (1) adding only the Undistorter U to LP3D (row 2), and (2) adding only the Fuser  $F$  (row 3). We show that simply adding each component does not lead to improvement. However, they complement each other and substantially improves the accuracy to the reconstruction (Novel View Variation) as well as the robustness to challenging input viewpoints (Input View Variation).

column from the right):

$$
NVV = Mean({\{Stddev({\{\mathbf{S}_{t,i,j}|i \neq j\})\}}|1 \leq i \leq N, 1 \leq t \leq T\}).
$$
 (16)

(b) Input View Variation (IVV) We measure how much a method's reconstruction quality varies when using input viewpoints (Sec. [5.1](#page-11-0) second column from the right). We quantify this variation as the average standard deviation of performance on the same evaluation view using different input views, i.e. vertical columns  $1 \leq j \leq N$  of the score matrix S (Tab. [2](#page-15-0) first column from the right).

$$
IVV = Mean({Stddev({S_{t,i,j}|i \neq j})}|1 \leq j \leq N, 1 \leq t \leq T}).
$$
 (17)

We observe that the inclusion of the Undistorter module consistently improves the "Novel View Variation" and "Input Robustness" metrics versus LP3D, indicating better robustness to different input viewpoints and more consistent rendering quality across views. However, when only the Undistorter is added (Tab. [2](#page-15-0) second row) the PSNR is reduced. This is likely because this model does not leverage the reference image to improve the reconstruction of occluded areas. Additionally, by only undistorting the reconstruction, the Undistorter-only model loses the ability to achieve higher average score (but also higher standard

deviations) by simply overfitting to the input view. Similarly, the Fuser-only (Tab. [2](#page-15-0) second row) achieves better robustness to different input viewpoints and more consistent rendering quality across views, but lower PSNR score. A likely cause is that without the Undistorter, the Fuser needs to overcome the challenge of fusing highly misaligned triplanes, where the person look drastically different in the raw triplane  $T_{raw}$  and triplane prior  $T_prior$ , possibly inducing more blurriness and alignment artifacts that lower the PSNR performane. Overall the best performance is achieved by including both the Undistorter and Fuser because the two modules complement each other. The Undistorter corrects the distortion in the raw triplane and thus reduces the challenges in fusing misaligned triplanes, and the Fuser recovers the occluded areas in the raw triplane.

### 6 Discussion

Conclusion. Recognizing the individual limitations of per-frame single-view reconstruction and 3D reenactment methods, we presented the first single-view 3D lifting method to reconstruct a 3D photorealistic avatar with faithful dynamic information as well as temporal consistency, which marries the best of both worlds. We believe our method paves the way forward for creating a high-quality telepresence system accessible to consumers.

Limitation and future work. With our method, fusing an extreme side view with a very different expression to the reference view may result in blurry reconstruction due to ambiguity in triplane alignment. We use a single reference image, but incorporating multiple ones with different expressions and head poses could lead to further improvements. While we focus on a modifying triplanes, tuning the feedforward network itself to integrate information across multiple temporal frames could lead to further improvements. Finally, due to the additional components, our current run-time performance is slower than real-time, which could be improved in future work.

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